

OASAS. Every Step of the Way.

Required Reporting for Incidents Involving Credentialing Applicants and Credentialed Individuals

All staff have a responsibility to maintain a safe and healthy environment for people receiving services, other staff, and visitors. A part of that responsibility includes making sure all allegations of abuse, neglect, and significant incidents are reported to the proper agencies.

If an incident involves a person who has applied for an OASAS credential or is credentialed by OASAS and is alleged to have committed acts which would violate their Canon of Ethics, the facility or provider agency must report that incident to the Justice Center **AND** submit a complaint form to the OASAS Credentialing Unit detailing the allegations within 24 hours of learning of the alleged conduct. The CASAC complaint form is available on the OASAS website at: casac-complaint-form_0.pdf (ny.gov).

Please note, an OASAS Credentialed individual is required to notify appropriate authorities, including employers and OASAS, when they know of a colleague's impairment or misconduct as stated in their Canon of Ethics¹. A credentialed person who fails to report a colleague's misconduct or impairment may be subject to discipline for violating the Canon of Ethics.

Justice Center OASAS **Both** *Alleged abuse by a * Alleged violation *Alleged abuse from credentialed staff of the Canon of Ethical a custodian Principles *Alleged neglect by *Alleged neglect a **credentialed** staff * Alleged misconduct, by a custodian or other prohibited acts *Significant incident *Significant incident defined in §853.13 by a **credentialed** involving a custodian staff

Should you have any further questions, please contact: legal@oasas.ny.gov or credentialing@oasas.ny.gov

¹ 14 NYCRR §853.19(a)(10)